

ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventors: Suban G. Krishnamoorthy, John R. Graham, Charles A. Stoneham, and James S. Doucette

 For: SYSTEM AND METHOD FOR SECURELY DOWNLOADING FIRMWARE TO STORAGE DEVICES  
 MANAGING DEVICES IN A CLIENT-SERVER ARCHITECTURE

Enclosed are:

- ☒ 11 sheets of drawing.
- ☒ An assignment of the invention to Digital Equipment Corporation.
- ☐ A certified copy of a \_\_\_\_\_ application
- ☒ A signed Power of Attorney by Assignee.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ A signed Declaration.
- ☒ Check in the amount of \$1,012.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	34-20 =	14
INDEP CLAIMS	2-3 =	-0-
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

## SMALL ENTITY

RATE	FEE	OR
	\$380	OR
x 9 =	\$	OR
x39 =	\$	OR
x130 =	\$-0-	OR
TOTAL	\$	OR

## OTHER THAN A SMALL ENTITY

RATE	FEE
	\$ 760
x18 =	\$ 252
x78 =	\$ -0-
+260 =	\$ -0-
TOTAL	\$ 1,012

☐ Please charge my Deposit Account No. 08-2623 in the amount of \$\_\_\_\_. A duplicate copy of this set is enclosed.

☒ A check in the amount of \$ 1,012 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-2623.

- ☒ Any patent application processing fees under 37 CFR 17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claim.

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25 May 1999

 JC503 U.S. PTO  
 05/31/92  
 05/25/99

PATENT  
EXPRESS MAIL NO. EL353030753US  
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Client/Matter No. 34309.830034.000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Suban G. Krishnamoorthy, John R. Graham,  
Charles A. Stoneham, and James S.  
Doucette

Group Art Unit: \_\_\_\_\_

Examiner: \_\_\_\_\_

Serial No. \_\_\_\_\_  
Filed: Herewith

For: SYSTEM AND METHOD FOR SECURELY  
DOWNLOADING FIRMWARE TO STORAGE  
DEVICES AND MANAGING DEVICES IN A  
CLIENT-SERVER ARCHITECTURE

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION

Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Transmittal letter for Patent Application;
2. Utility Patent Application;
3. Executed Declaration;
4. Executed Power of Attorney by Assignee
5. 11 sheets of drawings;
6. Recordation Form Cover Sheet PTO 1595 with Executed  
Assignment and Recording Fee of \$40.00;
7. \$ 1,012 filing fee;
8. Return postcard; and
9. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label  
No. EL353030753US with the United States Postal Service, addressed to The  
Commissioner of Patents and Trademarks, Washington, D.C., 20231, May 25, 1999.

May 25, 1999  
Date  
25/May 1999  
Date

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